

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>A61K 31/70, C07H 21/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 90/09180</b>  <b>(43) International Publication Date:</b> 23 August 1990 (23.08.90)
<b>(21) International Application Number:</b> PCT/US90/00472 <b>(22) International Filing Date:</b> 24 January 1990 (24.01.90)  <b>(30) Priority data:</b> 311,096 15 February 1989 (15.02.89) US  <b>(71) Applicant:</b> BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM [US/US]; 201 W. Seventh Street, Austin, TX 78701 (US).  <b>(72) Inventor:</b> SMITH, Larry, J. ; 1800 Holcombe Blvd., 205, Houston, TX 77030 (US).  <b>(74) Agent:</b> PATTERSON, Melinda, L.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).		<b>(81) Designated States:</b> AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE, DE (European patent), DK, DK (European patent), ES, ES (European patent), FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR TREATMENT OF CANCER USING OLIGONUCLEOTIDES  <b>(57) Abstract</b>  <p>The present invention provides methods useful in autologous bone marrow transplantation and cancer therapy. According to one aspect of the invention, bone marrow cells from a patient having cancer are treated with selected antisense oligonucleotides in order to deplete the bone marrow of malignant cells prior to infusion back into the bone marrow donor. In a separate embodiment, selected antisense oligonucleotides are administered systemically for anticancer therapy.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	HU	Hungary	NO	Norway
BJ	Benin	IT	Italy	RO	Romania
BR	Brazil	JP	Japan	SD	Sudan
CA	Canada	KP	Democratic People's Republic of Korea	SE	Sweden
CF	Central African Republic	KR	Republic of Korea	SN	Senegal
CG	Congo	LI	Liechtenstein	SU	Soviet Union
CH	Switzerland	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TG	Togo
DE	Germany, Federal Republic of	MC	Monaco	US	United States of America
DK	Denmark				

-1-

5

METHODS AND COMPOSITIONS FOR TREATMENT OF  
CANCER USING OLIGONUCLEOTIDES

10

The present invention relates to methods and compositions useful in cancer therapy. A preferred embodiment relates to the use of antisense oligonucleotides to purge tumor cells from bone marrow prior to autologous bone marrow transplantation. With this novel treatment, the bone marrow cells are obtained from an individual, and exposed to a proliferation-inhibiting amount of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a gene present in the cancerous cells. The cells are then infused back into the individual. This treatment preferentially inhibits the proliferation or kills malignant cells but not normal cells. Preferably, the gene encodes protein P53, although other antisense oligonucleotides may also be used. The invention also includes chemotherapeutic procedures and compositions for in vivo administration.

30

This discussion illustrates the problems faced by clinical investigators seeking to improve cancer treatments. A number of references have been included for the convenience of the reader. Inclusion of these references is not an admission that such references represent prior art with respect to the present invention.

35

-2-

Cancer is one of the most feared diseases of our age, causing many thousands of deaths each year. Unfortunately, despite substantial advances in cancer therapy, significant room for improvement remains. Many  
5 of the antineoplastic agents currently used in cancer treatment have an unacceptably low therapeutic index; in other words, these agents are unable to block proliferation of or kill malignant cells at doses that do not cause unacceptable toxicity in normal tissue.  
10 Consequently, treatment with these agents causes undesirable side effects, and in many circumstances, an effective dose of these agents may even be fatal to the host. Therefore, for many years, cancer researchers have sought to develop a method for treating cancer that would  
15 allow one to selectively kill the cancer cells without destroying normal host tissue.

Autologous bone marrow transplantation (ABMT) represents a significant advance in this area. With this  
20 technique, one first removes bone marrow cells from an individual having cancer, and then extensively treats the patient with radiation, antineoplastic agents, or a combination of both in a dose sufficient to eliminate most, if not all, of the malignant cells. Unfortunately,  
25 this treatment often kills normal hemopoietic cells as well. As a result, it is necessary to reconstitute the patient's hemopoietic system by transplanting the marrow removed prior to treatment. This procedure has been described by Chang, et al. Lancet, pp. 294-295 (Feb. 8,  
30 1986), and in a monograph entitled Autologous Bone Transplantation: Proceedings of the Third International Symposium, Dicke, et al., (eds), The University of Texas M.D. Anderson Hospital and Tumor Institute at Houston  
(1987), both of which are expressly incorporated herein  
35 by reference.

-3-

One major disadvantage associated with ABMT is the fact that cells of the bone marrow graft are often contaminated with malignant cells. This is particularly true when the patient suffers from a malignancy of the type known to invade or originate from bone marrow, for example, leukemias, lymphomas, myeloma, breast cancer, and small cell carcinoma of the lung.

Existing attempts to reduce or eliminate malignant cells from bone marrow involve the use of either monoclonal antibodies, drugs, or simple in vitro culture. However, these existing purging methods have well documented inherent shortcomings. For example, techniques that employ antibodies are dependent on the antigen density of the tumor cells, which may, in some cases, be quite low (Boyle and Gee, Cancer Investigation 5:113-118 (1987)). In addition, heterogeneity of tumor antigens complicates selection of a monoclonal antibody that is specific for a given tumor. In one study, mafosamide has been reported to be more effective than monoclonal antibodies for bone marrow purging prior to transplantation. However, despite this report that this procedure improved clinical outcome in patients with acute leukemia, the procedure was not curative. (Gorin, Exp. Hemat. 16:415 abstract 9, 1988). Other investigators report that there is a significant progressive shift from malignant to normal myelopoiesis when marrow from donors with acute or chronic myelocytic leukemia is maintained in culture up to about 4 weeks. This finding has led to attempts to purge marrow by in vitro culture (Coulumbel, et al., J. Clin. Invest. 75:961, 1985; Eaves, et al., Haem. Blood Transfusion 29:163, 1985) prior to autologous bone marrow transplantation. Although one group reports that this procedure appeared to cure one patient with acute myelogenous leukemia (Chang, et al., Lancet 1:2914,

-4-

1986), in general, the continuing presence of malignant hemopoiesis throughout the culture period limits the therapeutic value of this technique.

5           Recently, it has been learned that expression of certain genes involved in cellular proliferation can be inhibited by treating cells expressing those genes with "antisense" molecules. These antisense molecules have a sequence that is complementary to a portion of the RNA  
10           transcribed from the selected gene. Although the exact molecular mechanism of inhibition is not known, it has been suggested to result from formation of duplexes between the antisense molecule and RNA transcribed from the target gene. The duplexes may inhibit translation,  
15           processing, or transport of mRNA sequence or may lead to digestion by the enzyme RNaseH.

          Very recent studies involving the use of antisense oligonucleotides have been reviewed by Stein and Cohen,  
20           Cancer Res. 48:2659 (1988). Several types of antisense molecules have been screened for their ability to inhibit the synthesis of particular proteins using both intact cells and in vitro systems for protein synthesis. See Paoletti, Anti-Cancer Drug Design 2:325 (1988). For  
25           example, agents with specificity for RNA transcribed from the myc gene have been reported to inhibit the proliferation of the human AML line HL60 (Wickstrom, et al., Proc. Natl. Acad. Sci. USA 85:1028 (1988) and normal T lymphocytes (Heikkila, et al, Nature 328:445 (1987),  
30           and oligodeoxynucleotides complementary to cyclin mRNA have been reported to suppress the division of 3T3 cells. (Jaskulski, et al. 1988). In addition, in a murine system, transfection of a plasmid encoding antisense p53  
RNA into transfected MethA or non-transfected NIH3T3  
35           fibroblasts was reported to reduce the growth rate of the transfectants. Of course, studies in this use may have

-5-

very limited applicability to human systems, particularly where, as with p53, significant differences exist between the structure of the murine gene and the human gene given the same designation. Lamb and Crawford Mol. Cell Biol. 6:1379 (1986).

Unfortunately, although many of the references cited above are of general scientific interest, they suffer from a number of shortcomings that render them insufficient to establish efficacy of oligonucleotides as chemotherapeutic agents for cancer. For example, none of the studies reported to date indicate that treatment with selected antisense oligonucleotides would be likely to have an acceptable therapeutic index. It has not been shown that antisense oligonucleotides are lethal for or even inhibitory for proliferation of malignant cells but not corresponding normal cells under the same treatment conditions. In addition, these studies do not describe the effects of antisense oligonucleotides on fresh tumor cells or fresh normal cells; instead, the studies utilized continuous cell lines which differ in many respects from fresh cells and whose relevance as a model for screening of chemotherapeutic agents has been repeatedly challenged by members of the scientific community. Vinditti Seminars in Oncology 8:349 (1981). Fortunately, with the present invention, these shortcomings have been overcome and the therapeutic potential of chemotherapy with selected antisense oligonucleotides convincingly established for the first time.

Moreover, the present inventor has now discovered that certain antisense oligonucleotides, and in particular, an oligonucleotide complementary to the mRNA encoding the human p53 gene product, may be successfully used to selectively eliminate malignant cells from bone



-6-

marrow prior to autologous bone marrow transplantation. These and other advantages of the invention are described more fully below.

5           The present inventor has now discovered a new and unobvious method for treating bone marrow cells from an individual having cancer prior to infusion of the bone marrow cells back into the individual. This method comprises the following steps: Obtaining bone marrow  
10 cells from the individual and exposing the bone marrow cells to a proliferation inhibiting amount of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a target gene present in the cells of the cancer. Target genes suitable for use  
15 with the present invention are more completely described herein, for example, in the section entitled "Description of the Preferred Embodiments." However, in a preferred embodiment, the target gene will be a "traitor" gene of the type described more completely herein. In an  
20 additional preferred embodiment, the target gene is a gene encoding p53. In yet still another preferred embodiment, the proliferation inhibiting amount is approximately 10-200 micromolar.

25           In a related embodiment, the inventor has provided a method for treating an individual having cancer comprising obtaining bone marrow cells from the individual, exposing the bone marrow cells to a proliferation inhibiting amount of an oligonucleotide  
30 having a sequence complementary to a sequence of RNA transcribed from a target gene present in the cells of the cancer, and transplanting the exposed cells into the individual. In a related embodiment, the individual so treated receives an additional preparation also  
35 containing oligonucleotides. The method of the present invention may be useful for treating any number of types

-7-

of cancers, particularly those known to express p53 including cancer of cells of the hemopoietic system, leukemia, myelogenous leukemia, lymphoma, breast cancer, myeloma, or gastrointestinal cancer.

5

The oligonucleotide selected may be any of a number of types, including those having a charged or uncharged backbone. Particularly preferred are the methylphosphonate oligonucleotides and the phosphorothioate oligonucleotides. Also preferred are oligonucleotides comprising at least eight bases and complimentary to a sequence of RNA located 5' to the initiation codon of the target gene. Additionally, the oligonucleotide may comprise at least eight bases and be located within forth bases of the initiation codon. Finally, the oligonucleotide may comprise the sequence GGTCTGACGGAAGGCCCAAGTGACGGTAC.

Although the bone marrow cells may be exposed to the oligonucleotides under a variety of conditions, in a preferred embodiment, the exposing step will comprise the steps of fractionating the bone marrow cells to obtain a mononuclear cell fraction and culturing the mononuclear fraction together with a proliferation inhibiting amount of the oligonucleotide for at least about 1-10 days.

The invention also comprises a general method for killing of cancer cells. This method comprises exposing the cancer cells to a lethal amount of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a target gene present in the cancer cells. In a preferred embodiment, the cancer cells will comprise cancer cells present in bone marrow. A number of suitable targets for use in connection with this aspect of the invention. Suitable targets may be determined, for example, by testing oligonucleotides

-8-

complementary to RNA derived from such targets in accordance with the types of methods set forth by the inventor in the present application in which the potential for selective killing is determined by  
5 comparing the effects of particular antisense oligonucleotides on fresh tumor cells and corresponding normal tissue (see, for example, Example 1). However, it should be appreciated, that with this aspect of the invention, it is important that the oligonucleotide  
10 selected, not only inhibit proliferation of, but selectively kill the cells of the tumor. An amount of oligonucleotide sufficient to achieve this end is considered to be a lethal amount. However, in a particular embodiment, a lethal amount is defined as an  
15 amount sufficient to allow one to achieve a serum concentration of oligonucleotides of about 30-200 micromolar, where the gene is p53.

In a related embodiment, the invention comprises a  
20 method for treating an individual having cancer, by administering to the individual a sufficient amount of a preparation containing oligonucleotides complementary to RNA transcribed from a target gene to kill the cancer cells in said individual. The methods described may be  
25 used to treat cancers of a number of types, including cancers of the cells of the hemopoietic system, leukemia, myeloid leukemia, lymphoma, breast cancer, carcinoma of the lung, gastrointestinal cancer, and multiple myeloma.

30 With these embodiments of the invention, a number of types of oligonucleotides, including those having charged or uncharged backbones, such as methylphosphonate or phosphorothioate oligonucleotides may be used. However, uncharged oligonucleotides may be preferred. The  
35 oligonucleotides may comprise at least 8 bases and be complementary to a sequence of RNA located 5' to the

-9-

initiation codon of the RNA transcript. Alternatively, the oligonucleotide may comprise at least 8 bases and be located within 40 bases of the initiation codon of said transcript. In a specific embodiment, the  
5 oligonucleotide will comprise the sequence  
GGTCTGACGGAAGGCCAGTGACGGTAC. Finally, the invention comprises pharmaceutical compositions for inhibiting proliferation of malignant cells, including compositions comprising oligonucleotides complementary to mRNA  
10 transcribed from the p53 gene.

With these methods and compositions, striking increases in the efficacy of bone marrow purging for autologous bone marrow transplantation, and in cancer  
15 chemotherapy in general, can be achieved. The advantages of the present invention will become more apparent from detailed description of the preferred embodiments and from examples set forth herein. These examples and discussion are intended to be illustrative but  
20 exhaustive.

A preferred embodiment of the present invention relates to use of antisense oligonucleotides to deplete or substantially deplete bone marrow of malignant cells  
25 prior to autologous bone marrow transplantation. The selected antisense oligonucleotides have a sequence that is complementary or substantially complementary to a sequence of RNA transcribed from a gene that when blocked  
30 will preferentially inhibit the growth of or kill malignant cells as compared to normal cells. In a preferred embodiment, the oligonucleotide will be complementary to mRNA transcribed from the human gene encoding a protein known as p53.

35

-10-

However, as the following discussion indicates, oligonucleotides complementary to mRNA transcribed from other genes crucial to cell viability may also be targeted for inhibition with antisense oligonucleotides.

5 Higher organisms have an elaborate system of interactive mechanisms that are responsible for determining the size, position and architecture of their component tissues. Such regulation requires that coordinating information be communicated between cells across variable distances.

10 This is accomplished, at least in part, by an array of information carrying molecules that include elements of the endocrine and paracrine systems as well as cell surface components that act through cell-cell contact. Of particular interest are those informational molecules

15 that deliver directions concerning the maintenance of cell viability and regulate cellular proliferation and differentiation.

Individual cells detect, interpret and act upon this

20 exogenous information by means of complex afferent sensory and efferent response systems, the components of which include receptors for the exogenously derived informational molecules, secondary messengers and transcriptional and translational regulators. Hence,

25 when a cell is presented with a particular set of informational molecules and an interpretation made, the latter is manifested, at least in part, in terms of an appropriate pattern of gene expression that is required for obtaining an appropriated response. Such patterns

30 could be thought of as being "cooperative patterns."

The behavior of neoplastic cells suggests that they are somehow aberrant with respect to these mechanisms (Weinstein, J. Cell. Biochem. 33:213, 1987), and a

35 variety of specific tumor associated changes have been described for particular components of these mechanisms

-11-

in tumor cells. Therefore, it is believed that the sensory component of malignant cells may respond to environmentally derived cues by providing for an "uncooperative pattern" of gene expression that in turn provides for the malignant phenotype.

Both normal and malignant primary (fresh) cells die if placed in a significantly abnormal environment in vivo or in vitro, even when provided with all the necessary nutrients and physical conditions necessary to maintain cellular functions. Primary malignant cells typically can tolerate a somewhat broader range of environments than normal cells, but the range is still highly restricted. It appears then that primary normal or malignant cells are programmed to die if deprived of environmentally derived informational molecules that instruct them to survive.

The present inventor has found that antisense p53 oligonucleotides can inhibit the proliferation of and ultimately kill primary human leukemic blasts while not producing similar effects on fresh normal bone marrow cells. This unobvious result indicates that the interactive mechanisms for detecting, interpreting and responding to environmental informational molecules involved in regulating cell proliferation and viability in malignant cells are so altered from normal in terms of their dynamic interactions (involving signal transduction and interpretation) that the inhibition of a single gene or set of genes coding for proteins involved in this process by antisense oligonucleotides is sufficient to change the impact of the informational molecules so a cellular death or growth inhibition program can be selectively instituted in the malignant cells. The term "trait r genes" is used herein to describe these genes in malignant cells that may be suitable for targeting for

-12-

inhibition with antisense molecules in accordance with the present invention that will result in growth inhibition or killing of malignant cells but not their normal counterparts over a selected dose range. Suitable target or traitor genes may themselves either be functionally abnormal or be normal but function to maintain the viability and proliferation of malignant cells as part of an abnormal pattern of gene expression. However, since simple growth inhibition of malignant cells that lasts only during exposure to antisense oligonucleotides would not be adequate for systemic treatment, with respect to this aspect of the invention, it is particularly significant that the present inventor has been able to document selective killing of malignant cells.

Since the targeted traitor genes include genes that are crucial for the maintenance of viability and for proliferation of malignant cells, their products may fall into certain categories. These include informational molecules that carry instructions dealing with cell proliferation, viability, and differentiation as well as their receptors; second messenger molecules which are involved in signal transduction and most likely its interpretation; and transcriptional and translational regulators that respond to such information by providing for a particular pattern of gene expression at the RNA and protein levels. The following is a partial listing of potential target or "traitor" genes that may be suitable for use in accordance with the present invention. Informational molecules that normally have an exogenous source are included because neoplastic cells may sometimes elaborate such molecules which may have an auto-stimulatory effect. Regulators of cellular differentiation are mentioned because differentiation and proliferation potential are often coupled and are

-13-

inversely related. Where the molecule listed is not a protein, the appropriate target genes would encode enzymes that are involved in the synthesis, breakdown or modification of the indicated molecule.

5

Potentially Useful Target Genes  
For Bone Marrow Purging

10	Epidermal growth factor and its receptor	Carpenter and Cohen <u>Ann. Rev. Biochem.</u> 48:193, 1979
15	Platelet derived growth factor and its receptor	Heldin and Westermark <u>Cell</u> 37:9, 1984
20	Transforming growth factor and its receptor	Sporn, et al. <u>Sci.</u> 233:532, 1986
25	Endorphins and their receptors	Brummitt et al. <u>"Monokines and Other Non-Lymphocytic Cytokines, p. 125,</u> Alan R. Liss, 1988
30	abl and bcr/abl (ligand not defined)	Konopka and Witte <u>Biochem Biophys Acta</u> 823:1, 1985
35	Hematopoietic growth factors (including G-CSF, GM-CSF, M-CSF and IL 1-6) and their receptors	Clark and Kamen <u>Sci.</u> 236:1229, 1987.
40	Tumor necrosis factor and its receptor	Murase et al. <u>Blood</u> 69:467, 1987.
	Aproliferin and its receptor	Wier and Scott <u>A.J.P.</u> 125:546, 1986
45	Leukemia inhibitory factor and its receptor	Moreau et al. <u>Nature</u> 336:690, 1988



-14-

5	Cyclic nucleotides and calmodulin	Rasmussen, H. <u>"Calcium and cAMP As Synarchic Messengers"</u> Wiley, N.Y., 1981
10	Diacylglycerol; protein kinase C	Alkon and Rasmussen <u>Sci.</u> 239:998, 1988
15	Polyamines	O'Connor et al. <u>Cell Tissue Kinet.</u> 19:539, 1986
20	Metabolites of Arachidonic Acid (leukotrienes and prostaglandins)	Snyder et al. <u>Exp. Hematol.</u> 17:6, 1989.
25	Interferon	Rigby et al. <u>Blood</u> 65:858, 1985.
30	ADP-ribosylases	Daniel and Dexter <u>Leukemia</u> 2:523, 1988.
35	Phosphatidylinositol	Faletto et al. <u>Cell</u> 43:315, 1985.
40	Guanosine triphosphatase	Varmus and Bishop <u>Cancer Surveys</u> 5: Nov. 2, 1986.
45	Protein phosphatases	Weinstein, J. <u>Cell. Biochem.</u> 33:213, 1987.
50	Protein kinase A	Weinstein, J. <u>Cell. Biochem.</u> 33:213, 1987.
	Phospholipases	Weinstein, J. <u>Cell. Biochem.</u> 33:213, 1987.
	Protein kinase C	Weinstein, J. <u>Cell. Biochem.</u> 213, 1987.
	Actin	Weinstein, J. <u>Cell. Biochem.</u> 33:213, 1987.
	Phospholipase inhibitors (such as lipocortin)	Weinstein, J. <u>Cell. Biochem.</u> 33:213, 1987.

-15-

5	p53	Mercer and Baserga <u>Exp. Cell Res.</u> 160:31, 1985.
	AP1	Bohmann et al. <u>Sci.</u> 238:1386, 1987.
10	Steroid Receptors	Schule et al. <u>Sci.</u> 242:1418, 1988.
	FOS	Sassone-Corsi et al. <u>Cell</u> 54:553, 1988.
15	p39	Sassone-Corsi et al. <u>Cell</u> 54:553, 1988.
	JUN	Sassone-Corsi et al. <u>Cell</u> 54:553, 1988.
20	Cyclin	Mathews et al. <u>Nature</u> 309:374, 1984.
25	MYC	Nau et al. <u>Nature</u> 318:69, 1985.
	Poly ADP ribosylase	Singh et al. <u>Carcinogenesis</u> 6:1489, 1985.
30	CDC2Hs	Lee et al. <u>Nature</u> 333:676, 1988.
35	Myb	Pierce et al. <u>Clinics in Haemat.</u> 15:573, 1986.
40	MOS	Pierce et al. <u>Clinics in Haemat.</u> 15:573, 1986.
	R1 and R2	Zinn and Maniatis <u>Cell</u> 45:611, 1986.
45	SRF	Treisman <u>EMBO J.</u> 6:2711, 1987.

50

Accordingly, separate embodiments of the invention comprise methods for (1) bone marrow purging and (2) in vivo chemotherapy. Particularly for the embodiments

-16-

preferred are oligonucleotides directed against the gene encoding p53.

Described below is a model system for removing  
5 malignant cells from bone marrow by treating the bone  
marrow cells with selected antisense oligonucleotides.  
The inventor's previously unappreciated discovery that  
selected antisense oligonucleotides are toxic for cells  
of the tumor but not for normal bone marrow cells  
10 provides a strong experimental basis for application of  
the method in autologous bone marrow transplantation.

Patients for whom the methods of the present  
invention may prove most efficacious include those having  
15 cancers of the hematopoietic system, breast cancer and  
gastro-intestinal cancer. The former category of  
diseases includes, but is not limited to, various forms  
of lymphoma and leukemia as well as multiple myeloma.

Pursuant to the invention, one first obtains a  
sample of bone marrow from the patient in accordance with  
any of a number of standard techniques, including  
aspiration from the iliac crest of a donor, for example,  
as described in U.S. Patent No. 4,481,946 and U.S. Patent  
20 No. 4,486,188. The patient may then be treated with an  
optimal dose of radiation or chemotherapy as previously  
described in Autologous Bone Transplantation:  
Proceedings of the Third International Symposium, Kicke,  
et al, (eds) The University of Texas J.D. Anderson  
25 Hospital and Tumor Institute at Houston (1987).

The bone marrow sample may be frozen and stored  
until needed, for example, as described in U.S. Patent  
No. 4,107,937 and U.S. Patent No. 4,117,881, or  
35 immediately treated with the oligonucleotide as described  
more fully below.

-17-

One of the most important parameters affecting practice of the present invention is the RNA sequence to which the antisense oligonucleotide is directed (target RNA). The oligonucleotide may be targeted to RNA transcribed from any of the number of selected target genes that have been discussed above, so long as the oligonucleotide kills or inhibits growth of malignant cells but is not so toxic for normal tissue as to prevent one from obtaining an acceptable therapeutic index. Suitable oligonucleotides may be identified with the aid of the present disclosure by testing for differential toxicity, for example, as described in Example I below. Moreover, as indicated above, suitable targets may be those encoding cell surface receptors, modulators of intracellular messengers, transcriptional regulators and effector genes that regulate cell proliferation and viability. A commercially available database (Genebank) includes a large number of genetic sequences which may be used to design oligonucleotides suitable for practice of the invention. Accordingly, those sequences are considered to be incorporated herein by reference.

However, the present inventor has discovered that an oligodeoxynucleotide complementary to the mRNA transcribed from the p53 gene is surprisingly effective for practice of the present invention. This gene has been implicated in regulation of cell proliferation and of gene expression. Mercer et al., Proc. Natl. Acad. Sci. U.S.A. 79:6309-6312 (1982); Mercer, et al. Mol. Cell. Biol. 4:276-281 (1984). Its expression is not restricted to tumor cell lines; fresh human tumor cells from patients with colorectal and mammary tumors have also exhibited elevated expression of the p53 gene product. Previously, the present inventor was able to detect p53 protein synthesis in acute myeloblastic leukemia cells

-18-

but was not able to detect it in normal cells from bone marrow using a metabolic labeling assay. (Smith, et al. J. Exp. Med. 164:751 (1986). In accordance with the present invention, the inventor has now shown that

5 antisense oligonucleotides complementary to p53 mRNA exhibit selective toxicity for malignant cells but do not inhibit growth of normal bone marrow cells. These studies are briefly described below in Example I.

10 As a general matter, the oligonucleotide employed will have a sequence that is complementary to the sequence of the target RNA. However, absolute complementarity is not required; in general, any oligonucleotide having sufficient complementarity to form

15 a stable duplex with the target RNA is considered to be suitable. Since stable duplex formation depends on the sequence and length of the hybridizing oligonucleotide and the degree of complementarity between the antisense oligonucleotide and the target sequence, the system can

20 tolerate less fidelity (complementarity) when longer oligonucleotides are used. However, it presently is believed that oligonucleotides of about 8 to 49 bases in length and having sufficient complementarity to form a duplex having a melting temperature of greater than about

25 40°C under physiologic conditions are particularly well suited for practice of the invention. Thoung et al. PNAS USA 84:5129, 1987; Wilson et al.; Nucleic Acids Res. 16:5137 (1988). Accordingly, such oligonucleotides are preferred.

30

Yet another variable that may affect practice of the invention is the region of the target RNA to which the selected oligonucleotide is designed to hybridize. Although oligonucleotides capable of stably hybridizing

35 with any region of the RNA may be suitable for practice of the invention, the present inventor has discovered

-19-

that oligonucleotides complementary to a region including the initiation codon are particularly effective. The most preferred oligonucleotides are those having a sequence complementary to the initiation codon and extending about 5 to 37 bases upstream (in the 5' direction) therefrom. Most preferred is an oligonucleotide extending about 25 bases upstream from the initiation codon.

The oligonucleotide employed may represent an unmodified oligonucleotide or an oligonucleotide analog. Suitable analogs include but are not limited to the ethyl or methyl phosphonate analogs disclosed by U.S. Patent No. 4,469,863 and the phosphorothioate modified oligodeoxynucleotides described by LaPlanche, et al., Nucleic Acids Research 14:9081 (1986) and by Stec, et al. J. Am. Chem. Soc. 106:6077 (1984). Furthermore, recent advances in the production of oligoribonucleotide analogues mean that other agents may also be used for the purposes described here, e.g., 2'-O-methylribonucleotides (Inoue et al., Nucleic Acids Res. 15:6131, 1987) and chimeric oligonucleotides that are composite RNA-DNA analogues (Inoue et al. FEBS Lett. 215:327, 1987). The most highly preferred oligodeoxynucleotides are synthesized according to the procedure of Matsukura, et al. Gene 72:343, 1988.

Of course, in order for the tumor cell targets to be effectively inhibited or poisoned by the selected antisense oligonucleotides, the cells must be exposed to the oligonucleotides under conditions that facilitate their uptake by the malignant cells. This may be accomplished by a number of procedures, including, for example, simple incubation of the cells with the oligonucleotides in a suitable nutrient medium for a period of time suitable to achieve selective inhibition

-20-

of the malignant cells. The present inventor has discovered that culture of the bone marrow with selected oligonucleotides (antisense p53 oligonucleotides, in the example) inhibits proliferation of cells after 8 hours exposure (and possibly sooner). Incubation for at least about 7-10 days kills fresh malignant cells (leukemic blasts, in the example) but has no significant effect on fresh cells from normal bone marrow. Accordingly, a preferred procedure for practice of the invention involves placing the bone marrow cells into culture, for example, as described by Gartner and Kaplan, Proc. Natl. Acad. Sci. USA 77:4756 (1980); Coulombel, et al., Blood 67:842 (1986); Meagher, et al. Blood 72:273 (1988) or U.S. Patent No. 4,721,096 with an optimal concentration of the selected antisense oligonucleotide.

The concentration of oligonucleotide to be used may vary, depending upon a number of factors, including the type of cancerous cells present in the marrow, the type, and the specificity of the particular antisense oligonucleotide(s) selected, and the relative toxicity of the oligonucleotide for malignant and normal bone marrow cells. Although the present inventor has observed significant inhibition of tumor cell DNA synthesis at oligonucleotide concentrations as low as 30 micromolar, optimal inhibition was observed at concentrations of at least 60 micromolar in the model system described below. With the aid of the techniques set forth in the present disclosure, those of skill in the art should be able to determine the optimal concentration to be used in a given case.

After the marrow cells have been exposed to the olig nucleotide and, in some cases, cultured as described above, they are then infused into the transplant recipient to restore hemopoi sis.

-21-

## EXAMPLE I

5       The following example demonstrates the ability of p53 antisense oligonucleotides to inhibit proliferation of fresh human myeloid leukemia cells in a model system utilizing tritiated thymidine uptake as a measure of cellular proliferation.

10       The particular oligodeoxynucleotide used for the experiments below was a 28 base phosphorothioate modified oligodeoxynucleotide complementary to the AUG initiation codon and the 25 bases located adjacent and 5' to same. This oligonucleotide has the sequence and  
15       GGTCTGACGGAAGGCCCAAGTGACGGTAC is referred to herein as antisense p53 ODN(1).

20       The corresponding sense oligodeoxynucleotide having the sequence CCAGACTGCCTTCCGGGTCAGTCCATG was used as a control. This oligodeoxynucleotide is referred to herein as sense p53 ODN(a). The oligodeoxynucleotides (ODN) were synthesized and purified according to published procedures (Matsukura, et al., Gene 72:343 (1988)) at Applied Biosystems, Inc., 777 Lincoln Center Drive,  
25       Foster City, California. Prior to use, the oligonucleotide (ODN) preparation was dissolved in a small amount of autoclaved distilled water. Alpha minimal essential medium (minus nucleotides and nucleosides) was then added to make a 100 micromolar  
30       stock solution of each of the selected oligonucleotides. The stocks were stored at -70°C.

35       Leukemic blast cells were obtained from the peripheral blood of two patients with acute myelogenous leukemia with high blast count. Normal bone marrow specimens were obtained from iliac crest of two donors by



-22-

standard procedures. Each set of samples was diluted 1:3 with phosphate buffered saline and the mononuclear cells isolated by a ficoll hypaque ( $d=1.077$ ) centrifugation step. T lymphocytes were removed by a second  
5 centrifugation with the density fractionation medium after rosetting with sheep red blood cells as previously described (Minden et al, Blood 54:186 (1979)). Greater than 95% of the patient cells obtained after T lymphocyte depletion had a blast morphology.

10

Prior to testing in the tritiated thymidine ( $^3\text{HTdR}$ ) uptake assay, the normal bone marrow mononuclear cells were stimulated with growth factors for three days to ensure that the maximum number of cells would be in cycle  
15 when exposed to the ODNs. Briefly, the cells were cultured in the presence of Alpha MEM, 20% fetal calf serum, and 10% 5637 bladder cell carcinoma line conditioned medium (5637 CM), at an initial cell density of  $5 \times 10^5$  cells per ml. The 5637 CM is a source of  
20 hematopoietic growth factors (including IL-1, GM-CSF, and G-CSF) and was prepared as described by Hoang and McCulloch, Blood 67:748 (1985). The cultures were incubated at  $37^\circ\text{C}$  in 5%  $\text{CO}_2$  in air.

25

In some experiments, normal bone marrow mononuclear cells or leukemic blast cells were cultured under the same conditions for variable lengths of time in the presence of ODNs. Aliquots were taken at various times for the  $^3\text{HTdR}$  assay to determine the kinetics of  
30 inhibition.

35

Cellular proliferation was monitored by  $^3\text{HTdR}$  uptake essentially as described by McCulloch and Till, Blood 49:269 (1977). Each variable was determined in triplicate. Leukemic blasts and normal bone marrow mononuclear cells were initially suspended in Alpha MEM

-23-

at  $10^7$  cells per ml. An aliquot of these cells received 1000 rads over 0.56 minutes from a cesium source for use as a control to determine background counts. Both irradiated and unirradiated cells were cultured in flat bottom 96 well plates at a density of 2 or  $1 \times 10^5$  cells in a volume of 0.2 ml consisting of Alpha MEM, 10% FCS, and 10% 5637 CM dialyzed to remove thymidine. Additional cultures of unirradiated cells containing varying amounts of antisense p53 ODN(1) or corresponding sense p53 ODN(1) were prepared under the same conditions. The cultures were incubated at 37°C in 5% CO<sub>2</sub> in air for time periods described. They were then pulsed with 1 microcurie per well of <sup>3</sup>HTdR for 4 hours, and the cells were harvested on 2.4 cm Whatman GF/C filters using a suction manifold. The filters were then rinsed in turn with 50 ml volumes of saline, 5% trichloroacetic acid in distilled water and 95% ethanol (Fisher). The dry filters were then placed in scintillation vials with 5 ml of Formula-963 (Dupont) scintillation fluid. Finally, counts were determined and the means for each condition compared using one way analysis of variance.

In the initial test of the system, blasts from patient #1 were incubated in microwells for 18 hours in the presence or absence of 30 micromolar antisense p53 ODN(1) and then assayed for tritiated thymidine uptake. The results, shown in Table I below, indicate that the antisense oligonucleotide caused significant inhibition of tritiated thymidine uptake. The mean CPM for the irradiated cells was 1234.

-24-

Table 1

5	Antisense p53 ODN(1)	<u>Concentration</u>	<u>Mean CPM</u>	<u>% Inhibition</u>	<u>P Value</u>
		0 micromolar	34419	--	--
		30 micromolar	23189	33	0.012

10

A second experiment was performed essentially as described above except that the concentration of antisense p53 ODN(1) was varied. In this experiment (Table 2), inhibition of tritiated thymidine uptake occurred at the highest concentration of ODN tested. The mean CPM for irradiated cells was 3802.

15

Table II

20

25	Antisense p53 ODN	<u>Concentration</u>	<u>Mean CPM</u>	<u>% Inhibition</u>	<u>P Value</u>
		0 micromolar	75792	--	--
		5 micromolar	86338	N.S.	0.273
		10 micromolar	93188	19(stimulation)	0.046
		30 micromolar	59174	N.S.	0.145
		60 micromolar	32831	57	0.002

30

N.S. = not significantly different from the untreated control

In yet another experiment, blasts from patient #1 were cultured for a prolonged period in the presence or absence of 30 micromolar antisense p53 ODN(1) and periodically sampled for testing in the <sup>3</sup>HTdR assay. (Tabl 3). At the end of 7 days, the cultures were inspected with an inverted phase microscope. All of the cells in the antisense ODN treat d cultur s were dead and disintegrating. Although the cultur s were continued

35

40

-25-

several more days, they continued to be a sign of viable cells, and the tissue culture medium retained its normal color. The control culture, however, remained healthy and had to be placed with fresh medium on day 7. By this time, this medium had turned a yellowish orange color, an indication of active metabolism by the cultured cells.

There was no significant difference in tritiated thymidine uptake exhibited by control cultures assayed at different time points after initiation of culture. However, with the treated group, tritiated thymidine uptake observed after 24 hours of culture was significantly lower than the 8-hour value ( $p < 0.001$ ) or 48-hour value ( $p < 0.003$ ). The 96-hour value was significantly lower than the 48-hour value ( $p < 0.0003$ ). Mean CPM for irradiated cells was 1234. The results of cell counts are shown in Table 4. However, the bulk of the cells in a fresh leukemia sample are proliferatively inert; hence, cell counts are not a very sensitive measure of cell proliferation.

Table 3  
 Patient #1  
 Mean CPM/% Inhibition /P Value at Different Times in Hours

Antisense p53 ODN Concentration	CPM	8%	P	CPM	24%	P	CPM	48%	P	CPM	96%	P
0 micromolar	40806			35560			41695			48509		
30 micromolar	30730	25	0.002	16709	53	0.002	25084	40	0.006	17922	63	0.005

-27-

Tabl 4

Cell Counts x 10<sup>5</sup> per ml  
time in hours

5	Antisense				
	p53 ODN				
10	Concentration	8	24	48	96
	0 micromolar	ND	ND	8.8	9.3
15	30 micromolar	ND	ND	6.2	5.3

A third experiment was performed using the same conditions as set forth in the experiment described above except that the leukemia cells tested were obtained from a different donor (Patient #2) and the sense ODN was used as an additional control. The results of this experiment (Table 5) showed that proliferation of tumor cells was significantly inhibited by 30 to 60 micromolar antisense oligonucleotide but not by 60 micromolar sense oligonucleotide control. Irradiated cell mean CPM was 3585.

-28-

Table 5

5	ODN(1) Concentration* (Micromolar)	Mean CPM	% Inhibition	P Value
	0	49822	---	---
10	5 a.s.	52640	N.S.	0.192
	10 a.s.	49161	N.S.	0.760
	30 a.s.	39353	21	0.001
	60 a.s.	28285	43	<0.001
	60 s.	48943	N.S.	0.637

15 \* a.s. = antisense

s. = sense

N.S. = not significantly different from untreated control

20

25 A final experiment was performed essentially as described immediately above. However, in this experiment, the leukemic cells were obtained from a second donor and two normal bone marrow specimens that had been stimulated with 5637-CM for three days (as described in Section B) were used. Leukemic blast cells have previously been shown to generally exhibit density requirements of  $>2 \times 10^5$ /ml. for continued growth, Hoang and McCulloch, Leukemia Res. 10:273 (1986). A sense p53 oligodeoxynucleotide was included as a control in all three sets of cultures. The normal bone marrow cultures were fed on day 7 by removing one-half of the culture medium and adding one-half volume of fresh medium. By 35 day 10, the vast majority of leukemic blast cells in the culture receiving the antisense oligodeoxynucleotide, but not the sense oligodeoxynucleotide, were determined to be dead by examination with a phase microscopy and by their failure to proliferate and exhaust the culture medium. 40 Neither treatment exhibited any significant toxicity for the normal bone marrow cells which were observed for 10 days with one feeding as described. Thus, this

-29-

experiment confirmed the selective toxicity of the antisense oligodeoxynucleotide for malignant cells.

- 5      Tritiated thymidine uptake observed after 24, 48, and 96 hours of culture with either the sense or antisense oligodeoxynucleotides for both sets of cultures are reported in Tables 6 and 7 below and also demonstrates selective inhibition of the antisense oligonucleotides for malignant cells.



-30-

Table 6  
Mean CPM/%Inhibition/P Value at Different Times in Hours

p53 ODN Concentration* (Micromolar)	CPM	24%	P	CPM	48%	P	CPM	96%	P
0	20287			23351			16741		
30 a.s.	13568	N.S.	0.084	11292	52	0.007	5201	69	0.004
30 s.	15881	N.S.	0.149	17224	N.S.	0.099	20581	N.S.	0.051

\* a.s. = antisense

s. = sense

N.S. = not significantly different from 0 micromolar

-31-

Table 7  
Normal Bone Marrow  
Mean CPM Donors 1 and 2 at Different Times in Hours

p53 ODN Concentration	24		48		96	
	1	2	1	2	1	2
0	17200	17913	22072	21578	10188	9595
30 a.s.	20125	16745	24014	19996	9328	14766
30 s.	18269	16891	24382	19422	11688	15473

a.s. = antisense

s. = sense

Only mean counts per minute are given since for any given time point none of the results were significantly different from the untreated control except at 96 hours 30 micromolar sense ODN is significantly higher than the untreated control  
p = 0.012.

-32-

## EXAMPLE 2

5 The following prophetic example describes use of the present invention to purge malignant cells from bone marrow in a selected cancer patient.

10 Bone marrow is aspirated from the iliac crest of a cancer patient and the mononuclear cells fraction obtained by centrifugation over a gradient of ficoll-hypaque ( $d=1.077$ ). Bone marrow mononuclear cells are resuspended of a density of about  $1 \times 10^7$  cells per ml in a culture medium comprising MEM (Gibco) supplemented with a source of growth factors (such as 5637 CM)  $5 \times 10^{-6}$  mol/l hydrocortisone hemisuccinate, 0.25 mg/ml catalase, 15 2 mmol/L mannitol (all 3 Sigma), 1% sodium pyruvate solution (100X), 1% vitamin solution (100x10.8% amino acid solution), (50X), 0.4% nonessential amino acid solution (200X), a 1% L-glutamine (200 mmole/l), (all purchased from Gibco), 12.5% horse serum (Hyclone), 12.5% 20 FCS (Gibco) and 60 micromolar of antisense p53 ODN(1). Suspensions are placed in suitable culture vessels and incubated at  $37^\circ$  in 5%  $CO_2$  in air. The culture is fed at suitable periods by a complete media change and by removing a proportion of the nonadherent cells 25 corresponding to the amount of growth. Generally, the culture will be harvested before the expiration of about 10 days.

30 As an optional step, the cells may be assayed at the end of the culture period to determine the number or percentage, if any, of malignant cells remaining after treatment with the oligonucleotides. Suitable assay procedures include karyotype analysis where the malignant cells have a characteristic karyotyp such as the 35 Philadelphia chromosome or those described by Watson and

-33-

Duff, Enzymology 58:322 (1979) and by Sloan, et al., Br. J. Cancer 44:85 (1981).

5 After a culture period suitable to deplete at least  
a majority of the malignant cells from the marrow  
suspension (generally about 10 days), the cells are  
harvested from culture and infused into the recipient as  
previously described, Autologous Bone Marrow  
10 Transplantation, Dicke, Spitzer, and Jagannath (eds.) The  
University of Texas, M.D. Anderson Hospital and Tumor  
Institute at Houston, 1987. As an optional step, the  
patient may receive supplemental therapy with a  
pharmaceutical preparation comprising the selected  
15 antisense oligonucleotide used for bone marrow purging  
or, alternatively, a second antisense oligonucleotide  
preparation.

In addition to their utility for purging malignant  
cells from bone marrow prior to transplantation, the  
20 antisense oligonucleotides of the present invention may  
also find significant clinical utility as  
chemotherapeutic agents for treatment of a number of  
tumors including, for example, gastrointestinal cancer,  
breast cancer, and cancers of blood. The antisense p53  
25 oligonucleotides may prove particularly useful when  
administered to recipients of antisense p53  
oligonucleotide-purged bone marrow transplants.

Several of the parameters that are listed below are  
30 related to selection of the particular oligonucleotide  
sequence to be used for purging of bone marrow also apply  
to the selection of the particular antisense  
oligonucleotide to be used as a chemotherapeutic agent in  
vivo. For example, as with antisense oligonucleotides  
35 used for bone marrow purging, absolute complementarity to  
the target gene sequence is not required. Instead, a

-34-

degree of complementarity sufficient to allow stable hybridization with the selected RNA target is considered suitable. Moreover, oligonucleotides of varying lengths may be employed; however, oligonucleotides having from  
5 about 8 to about 40 bases are preferred. Although the antisense oligonucleotide may be complementary to virtually any stretch of sequence within the target gene, antisense oligonucleotides complementary to the  
10 initiation codon and bases extending in the 5' direction therefrom, may be preferred. Oligonucleotides complementary to RNA encoding p53 are particularly preferred. The complete sequence of the p53 gene set forth by Lamb and Crawford, Molecular and Cellular Biology 6:1379-1385 (1986) and incorporated herein by  
15 reference may be of assistance in designing antisense sequences suitable for practice of the present invention. However, the sequence GGTCTGACGGAAGGCCAGTGACGGTAC is preferred.

20 The antisense oligonucleotide selected for practice of the invention may be any of the types described by Stein and Cohen, Cancer Research 48:2569-2668 (1988), and including without limitation, unmodified oligodeoxynucleotides, ethyl-or methyl-phosphonate  
25 modified oligodeoxynucleotides, phosphorothioate modified oligonucleotides, dithioates, as well as other oligonucleotide analogs, including those incorporating ribozyme structures, and oligoribonucleotides such as those described by Inove et al., Nucleic Acids Res.  
30 15:6131 (1987); and Chimeic oligonucleotides that are composite RNA, DNA analogues (Inove, et al., FEBS Lett. 2115:327 (1987). Oligonucleotides having a lipophilic backbone, for example, methylphosphonate analogs with ribozyme structures, may prove advantageous in certain  
35 circumstances; these molecules may have a longer half-life in vivo since the lipophilic structure may reduce

-35-

the rate of renal clearance while the ribozyme structure promotes cleavage of the target RNA. Gerlach, Nature 334:585 (1988).

5           The oligonucleotides may be formulated into pharmaceutical compositions and administered using a therapeutic regimen compatible with the particular formulation. As described further below, with the aid of present disclosure, those of skill in the  
10       chemotherapeutic arts should be able to derive suitable dosages and schedules of administration for any of a number of suitable compositions that contain the compounds. Thus, pharmaceutical compositions within the scope of the present invention include compositions where  
15       the active ingredient is contained in an effective amount to kill the cells of the cancer without causing unacceptable toxicity for the patient. However, a preferred dosage comprises that which is sufficient to achieve an effective blood concentration of about 10 to  
20       200 micromolar. Although a preferred range has been described above, determination of the effective amounts for treatment of each type of tumor may be determined by those of skill in the art of chemotherapeutic administration.

25           In addition to the antisense oligonucleotide compounds, the pharmaceutical compositions of the invention may contain any of a number of suitable excipients and auxiliaries which facilitate processing of  
30       the active compounds into preparations that can be used pharmaceutically. Preferably, the preparations will be designed for parenteral administration. However, compositions designed for oral or rectal administration are also considered to fall within the scope of the  
35       present invention. Preferred compositions will comprise

-36-

from about 0.1 to about 1% by weight of the active ingredients.

Suitable formulations for parenteral administration include aqueous solutions of the active compounds in water-soluble or water-dispersible form. Alternatively, suspensions of the active compounds may be administered in suitable lipophilic carriers. The formulations may contain substances which increase viscosity, for example, sodium carboxymethyl cellulose, sorbitol, and/or dextran. Optionally, the formulation may also contain stabilizers.

Additionally, the compounds of the present invention may also be administered encapsulated in liposomes. The oligonucleotide, depending upon its solubility, may be present both in the aqueous layer and in the lipidic layer, or in what is generally termed a liposomic suspension. The hydrophobic layer, generally but not exclusively, comprises phospholipids such as lecithin and sphingomyelin, steroids such as cholesterol, more or less ionic surfactants such as diacetylphosphate, stearylamine, or phosphatidic acid, and/or other materials of a hydrophobic nature.

While the present invention has been described in conjunction with a preferred embodiment and specific examples, the description is not meant to limit it. One of ordinary skill, with the aid of the present disclosure, may be able to effect various changes, substitutions of equivalents and other alterations to the methods and compositions set forth. Therefore, the protection granted by Letters Patent should not be limited except by the language of the claims as set forth below.

-37-

CLAIMS

1. A method for treating bone marrow cells from an individual having cancer prior to infusion of the bone marrow cells back into the individual, comprising the steps of:

Obtaining bone marrow cells from the individual; and

Exposing the bone marrow cells to a proliferation inhibiting of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a target gene present in the cells of the cancer.

2. A method for treating an individual having cancer comprising the steps of:

Obtaining bone marrow cells from the individual;

Exposing the bone marrow cells to a proliferation inhibiting amount of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a target gene present in said the cells of the cancer; and

Transplanting the exposed cells into the individual.

35



-38-

3. The method of claim 1, 2, or 3 comprising the additional step of administering to the individual a sufficient amount of a preparation containing oligonucleotides complementary to RNA transcribed from a target gene present in the cells of the cancer to kill the cancerous cells of the individual.

4. The method of claim 1, 2, or 3 where the cancer is further defined as a cancer of cells of the hemopoietic system.

5. The method of claim 1, 2, or 3 where the cancer is leukemia.

6. The method of claim 1, 2, or 3 where the cancer is myeloid leukemia.

7. The method of claim 1, 2, or 3 where the cancer is lymphoma.

8. The method of claim 1, 2, or 3 where the cancer is breast cancer.

9. The method of claim 1, 2, or 3 where the cancer is multiple myeloma.

10. The method of claim 1, 2, or 3 where the cancer is gastric intestinal cancer.

-39-

11. The method of claim 1, 2, or 3 where the gene encodes p53.

5

12. The method of claim 1, 2 or 3 where the target is a traitor gene.

10

13. The method of claim 1, 2 or 3 wherein the oligonucleotide has a charged backbone.

15

14. The method of claim 1, 2 or 3 wherein the oligonucleotide has an uncharged backbone.

20

15. The method of claim 1, 2 or 3 where the oligonucleotide is a methylphosphonate oligonucleotide.

16. The method of claim 1, 2 or 3 where the oligonucleotide is a phosphorothioate oligonucleotide.

25

17. The method of claim 1, 2, or 3 where the oligonucleotide comprises at least 8 bases and is complementary to a sequence of RNA located 5' to the initiation codon of said target.

30

18. The method of claim 1, 2, or 3 where the oligonucleotide comprises the sequence  
GGTCTGAC<sup>3</sup>GGAAGGCCCGAGTGACGGTAC.

35

-40-

19. The method of claim 1, 2, or 3 where the oligonucleotide comprises at least 8 bases and is located within 40 bases of the initiation codon.

5

20. The method of claim 1, 2, or 3 where the proliferation-inhibiting amount is 10-200 micromolar.

10

21. The method of claim 1, 2, or 3 where the exposing step comprises:

fractionating the bone marrow cells to obtain a mononuclear cell fraction, and

15

culturing the mononuclear fraction together with a proliferation inhibiting amount of the oligonucleotide for at least about 1-10 days.

20

22. A method for killing cancer cells comprising exposing the cancer cells to a lethal amount of an oligonucleotide having a sequence complementary to a sequence of RNA transcribed from a target gene present in the cells of said cancer.

25

23. The method of claim 22 where the cancer cells comprise cells present in bone marrow.

30

24. A method for treating an individual having cancer comprising administering to the individual a sufficient amount of a preparation containing oligonucleotides

35

-41-

complementary to mRNA transcribed from a target gene to kill the cancer cells present in said individual.

5        25. The method of claim 22 or 24 wherein the target gene is a traitor gene.

10       26. The method of claim 22 where the cancer is further defined as a cancer of cells of the hemopoietic system.

15       27. The method of claim 22 or 24 where the cancer is leukemia.

20       28. The method of claim 22 or 24 where the cancer is myeloid leukemia.

25       29. The method of claim 22 or 24 where the cancer is lymphoma.

30       30. The method of claim 22 or 24 where the cancer is breast cancer.

35       31. The method of claim 22 or 24 where the cancer is carcinoma of the lung.

32. The method of claim 22 or 24 where the cancer is gastr intestinal cancer.

-42-

33. The method of claim 22 or 24 where the cancer is multiple myeloma.

5 34. The method of claim 22 or 24 where the oligonucleotide is a methylphosphonate oligonucleotide.

10 35. The method of claim 22 or 24 where the oligonucleotide is a phosphorothioate oligonucleotide.

15 36. The method of claim 22 or 24 where the oligonucleotide comprises at least 8 bases and is complementary to a sequence of RNA located 5' to the initiation codon of said RNA transcript.

20 37. The method of claim 22 or 24 where the oligonucleotide comprises at least 8 bases and is located within 40 bases of the initiation codon of said RNA transcript.

25 38. The method of claim 22 or 24 where the oligonucleotide comprises the sequence  
GGTCTGACGGAAGGCCAGTGACGGTAC.

30 39. The method of claim 22 or 24 where the lethal inhibiting amount is 30-200 micromolar.

35 40. An oligonucleotide from about 8 to 40 bases in length complementary to RNA transcribed from a gene encoding human p53.

-43-

41. A pharmaceutical composition for inhibiting proliferation of malignant cells comprising oligonucleotide complementary to mRNA transcribed from the p53 gene.

5

42. The composition of claim 41 comprising 10-200 micromolar oligonucleotide.

10

43. The composition of claim 41 where the oligonucleotide is a methylphosphonate oligonucleotide.

15

44. The composition of claim 41 wherein the oligonucleotide has a charged backbone.

20

45. The composition of claim 41 wherein the oligonucleotide has an uncharged backbone.

25

46. The composition of claim 41 where the oligonucleotide is a phosphorothioate oligonucleotide.

30

47. The composition of claim 8 where the oligonucleotide comprises at least 8 bases and is complementary to a sequence of RNA located 5' to the initiation codon of said gene.

35

48. The composition of claim 41 where the oligonucleotide comprises at least 8 bases and is located within 40 bases of the initiation codon.

-44-

49. The composition of claim 41 where the oligonucleotide comprises the sequence  
GGTCTGACGGAAGGCCAGTGACGGTAC.

5

50. The composition of claim 41 comprising a sufficient amount of oligonucleotide to achieve a serum concentration of about 30-200 micromolar oligonucleotide.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/00472

<b>I. CLASSIFICATION F SUBJECT MATTER</b> (If several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>5</sup> :      A 61 K 31/70, C 07 H 21/00																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: right; font-size: small;">Minimum Documentation Searched †</div> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%; border: none;">Classification System</td> <td style="border: none;">Classification Symbols</td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">IPC<sup>5</sup></td> <td style="border: 1px solid black; padding: 5px;">A 61 K, C 12 N, C 07 H</td> </tr> </table> <div style="text-align: center; font-size: x-small; margin-top: 5px;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched *</div>			Classification System	Classification Symbols	IPC <sup>5</sup>	A 61 K, C 12 N, C 07 H											
Classification System	Classification Symbols																
IPC <sup>5</sup>	A 61 K, C 12 N, C 07 H																
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT ‡</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; font-size: x-small;">Category *</th> <th style="width: 70%; font-size: x-small;">Citation of Document, †† with indication, where appropriate, of the relevant passages ‡‡</th> <th style="width: 20%; font-size: x-small;">Relevant to Claim No. ‡‡</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Oncogene, vol. 1, no. 3, 1987, The Macmillan Press Ltd, O. Shohat et al.: "Inhibition of cell growth mediated by plasmids encoding p53 anti-sense", pages 277-283, see page 277</td> <td style="vertical-align: top;">22-23, 25-50</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y</td> <td style="text-align: center; vertical-align: top;">--</td> <td style="vertical-align: top;">1, 4-21</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y</td> <td style="vertical-align: top;">The Lancet, 8 February 1986, J. Chang et al.: "Reconstitution of haemopoietic system with autologous marrow taken during relapse of acute myeloblastic leukaemia and grown in long-term culture", pages 294-295, see summary (cited in the application)</td> <td style="vertical-align: top;">1, 4-21</td> </tr> <tr> <td></td> <td style="text-align: center;">--</td> <td></td> </tr> </tbody> </table>			Category *	Citation of Document, †† with indication, where appropriate, of the relevant passages ‡‡	Relevant to Claim No. ‡‡	X	Oncogene, vol. 1, no. 3, 1987, The Macmillan Press Ltd, O. Shohat et al.: "Inhibition of cell growth mediated by plasmids encoding p53 anti-sense", pages 277-283, see page 277	22-23, 25-50	Y	--	1, 4-21	Y	The Lancet, 8 February 1986, J. Chang et al.: "Reconstitution of haemopoietic system with autologous marrow taken during relapse of acute myeloblastic leukaemia and grown in long-term culture", pages 294-295, see summary (cited in the application)	1, 4-21		--	
Category *	Citation of Document, †† with indication, where appropriate, of the relevant passages ‡‡	Relevant to Claim No. ‡‡															
X	Oncogene, vol. 1, no. 3, 1987, The Macmillan Press Ltd, O. Shohat et al.: "Inhibition of cell growth mediated by plasmids encoding p53 anti-sense", pages 277-283, see page 277	22-23, 25-50															
Y	--	1, 4-21															
Y	The Lancet, 8 February 1986, J. Chang et al.: "Reconstitution of haemopoietic system with autologous marrow taken during relapse of acute myeloblastic leukaemia and grown in long-term culture", pages 294-295, see summary (cited in the application)	1, 4-21															
	--																
<div style="display: flex; justify-content: space-between; font-size: x-small;"> <div style="width: 45%;"> <p>* Special categories of cited documents: †‡</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>																	
<b>IV. CERTIFICATION</b> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">           Date of the Actual Completion of the International Search  <b>5th June 1990</b> </td> <td style="width: 50%; border: none;">           Date of Mailing of this International Search Report  <b>3. 07. 90</b> </td> </tr> <tr> <td style="border: none;">           International Searching Authority  <b>EUROPEAN PATENT OFFICE</b> </td> <td style="border: none;">           Signature of Authorized Officer,  <div style="display: flex; align-items: center;"> <div style="margin-right: 20px;"> </div> <div style="border: 1px solid black; padding: 2px 5px; font-size: x-small;">M. PEIS</div> </div> </td> </tr> </table>			Date of the Actual Completion of the International Search <b>5th June 1990</b>	Date of Mailing of this International Search Report <b>3. 07. 90</b>	International Searching Authority <b>EUROPEAN PATENT OFFICE</b>	Signature of Authorized Officer, <div style="display: flex; align-items: center;"> <div style="margin-right: 20px;"> </div> <div style="border: 1px solid black; padding: 2px 5px; font-size: x-small;">M. PEIS</div> </div>											
Date of the Actual Completion of the International Search <b>5th June 1990</b>	Date of Mailing of this International Search Report <b>3. 07. 90</b>																
International Searching Authority <b>EUROPEAN PATENT OFFICE</b>	Signature of Authorized Officer, <div style="display: flex; align-items: center;"> <div style="margin-right: 20px;"> </div> <div style="border: 1px solid black; padding: 2px 5px; font-size: x-small;">M. PEIS</div> </div>																



III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, " with indication, where appropriate, of the relevant passages	Relevant to Claim No.
X	EP, A, 0288163 (THE UNITED STATES OF AMERICA - SECRETARY DEPT. COMMERCE) 26 October 1988 see pages 6-7	22-23, 25-28, 34-37, 39
Y	--	1, 4-21
Y	Chemical Abstracts, vol. 103, no. 11, 16 September 1985, (Columbus, Ohio, US), E. Harlow et al.: "Molecular cloning and in vitro expression of a cDNA clone for human cellular tumor antigen p53", see page 156, abstract 82693k, & Mol. Cell. Biol. 1985, 5(7), 1601-10	1, 4-21
X	Chemical Abstracts, vol. 108, no. 21, 23 May 1988, (Columbus, Ohio, US), E.L. Wickstrom et al.: "Human promyelocytic leukemia HL-60 cell proliferation and c-myc protein expression are inhibited by an antisense pentadecadeoxynucleotide targeted against c-myc mRNA", see page 186, abstract 181214q, & Proc. Natl. Acad. Sci. U.S.A. 1988, 85(4), 1028-32 (cited in the application)	22-23, 25-28
	-----	

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

incompletely

V. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND ~~UN~~SEARCHABLE

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers ~~XX~~..... because they relate to subject matter not required to be searched by this Authority, namely:

~~XX~~ Claims 4-21, 25, 27-39 (searched incompletely)

Claims 2-3, 24 (Claims not searched)

Pls. see Rule 39.1 (iv) - PCT

Methods for treatment of the human or animal body by surgery or therapy as well as diagnostic methods.

2. ☐ Claim numbers....., because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers....., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the international Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

US 9000472  
SA 34485

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A- 0288163	26-10-88	AU-A- 1571788 WO-A- 8807544	02-11-88 06-10-88
-----			